

# United States Patent and Trademark Office

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspio.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/838,000

04/19/2001

Akira Sakaguchi

JP920000038US1

**CONFIRMATION NO. 8873** 

**FORMALITIES LETTER** 

\*OC00000006172170\*

Louis P. Herzberg, Intellectual Property Law Dept. IBM Corporation P.O. Box 218 Yorktown Heights, NY 10598

Date Mailed: 06/12/2001

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

### FILED UNDER 37 CFR 1.53(b)

# Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

• The Claim(s) commencing on a separate sheet (37 CFR 1.75(h)).

A copy of this notice MUST be returned with the reply.

**Customer Service Center** 

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

07/09/2001 AWDNDAF1 00000229 090468

1 FC:105



JUL 0 5 2001

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re Patent Application of : Initial Patent Examination

: Division

Sakaguchi et al. : Box Missing Parts

:

Serial No.: 09/838,000 : Docket No.: JP920000038US1

:

Filed: April 19, 2001 : Date: July 2, 2001

For: INPUT DEVICE, INTERFACE PREPARATION SYSTEM, DATA PROCESSING METHOD, STORAGE MEDIUM, AND PROGRAM TRANSMISSION APPARATUS

# RESPONSE TO FILING OF MISSING PARTS OF APPLICATION UNDER 37 CFR 1.63

# FILING DECLARATION AND POWER OF ATTORNEY FILING ASSIGNMENT

To: Commissioner for Patents Initial Patent Examination Division Box Missing Parts Washington, D.C. 20231

# Sir:

On April 19, 2001, the above-identified application was filed with the U.S. Patent and Trademark Office, under Rule 1.53(f) Missing Parts.

Enclosed at this time is an original declaration, which includes the signatures of the two inventors. This copy has been altered only to indicate the serial number and filing date of the subject application.

Copy of a substitute application with the claims commencing on a separate sheet as requested (37 CFR 1.75(h))

Also attached for filing is the original fully executed Assignment for the above-identified application with the required Recordation Form Cover Sheet.

The fee of \$170.00 representing both the Surcharge of \$130.00 and Assignment fee of \$40.00 is to be charged to Deposit Account No.: 09-0468. A duplicate copy of this paper is attached.

A copy of the "Notice to File Missing Parts of Application-Filing Date Granted", date mailed June 12, 2001, is also attached as per your instructions.

Respectfully submitted, Attorney for the Applicants

,\_\_\_\_

Attorney: Louis P. Herzberg

Reg. No. 41,500 Tel. (914) 945-2885

IBM Thomas J. Watson Research Center Intellectual Property Law Department P.O. Box 218 Yorktown Heights, N.Y. 10598

# JUL 0 5 2007 8

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of : Initial Patent Examination

Division

Sakaguchi et al. : Box Missing Parts

:

Serial No.: 09/838,000 : Docket No.: JP920000038US1

:

Filed: April 19, 2001 : Date: July 2, 2001

For: INPUT DEVICE, INTERFACE PREPARATION SYSTEM, DATA PROCESSING

METHOD, STORAGE MEDIUM, AND PROGRAM TRANSMISSION APPARATUS

To: Commissioner for Patents

Initial Patent Examination Division

Box Missing Parts

Washington, D.C. 20231

# CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that the attached correspondence comprising:

Acknowledgment Post Card;

- Response to Filing of Missing Parts of Application Under 37 CFR 1.63(in duplicate);
- 3. Declaration and Power of Attorney Form;
- Notice to File Missing Parts of Application (return copy);
- 5. Copy of a substitute application with claims commencing on separate sheet as requested (37 CFR 1.75(h)); and
- 6. Assignment to IBM with attached Recordation Form.

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner for Patents
Initial Patent Examination Division
Box Missing Parts
Washington, DC 20231

on <u>July 2, 2001</u>

Margaret McCabe
(Print name of person certifying)

Mugan McCabe
(Signature of person certifying)